The policies listed below are requirements within IQ4K. Guidance and rationale are included to support minimum requirements for developing these policies.

**Supervision**  
(Required for Environment – Level 1)  
Supervision is basic to safety and the prevention of injury and maintaining quality child care. 
At a minimum address the following in your written policy:

- Explain how staff are trained on procedures program has in place to promote active supervision (ex. no cell phones, where staff space themselves, etc.)
- How is active supervision maintained during play, outdoors, basic care routines, transitions (toileting/diapering, meals, rest time, etc.)
- Provider/teacher/staff account for all children with name-to-face recognition by visually identifying each child
- If applicable, active supervision procedures for leaving the program. (transportation, field trips, etc.)
- “Touch-supervision” around bodies of water

**Safe Sleep**  
(Required for Environment – Level 1)  
Safe Sleep practices aim to reduce the risk of sudden infant death syndrome (SIDS) and sudden unexpected infant death (SUID) that could occur during all sleep. All child care programs who care for infants should follow these required safe sleep practices.  
At a minimum address the following in your written policy:

- Explanation that infants 12 months and under are placed on their back (supine position) for all sleep and that infants under 4 months of age that roll will be gently rolled back to their backs during sleep.
- Describe sleep environment for infants in program. At a minimum address the following: firm mattress, one infant per crib, no blankets, no swaddling, tight fitting sheet, nothing else in the crib, temperature of room
- Explanation of procedure for immediately moving an infant that arrives at program asleep or falls asleep outside of safe sleep environment while in care
- Explanation that program cannot sleep a child in another position without waiver from child’s primary physician requiring as such for medical need. (The waiver must have an expiration date)
- Explain how supervision at all times, of sight and sound, is maintained for sleeping infants
- Explain programs procedures for sanitary and safe cribs (Ex. change bedding, label cribs with infant’s name and ability, manufacturer certificate on file, etc.)
- Procedures for removing hazards that the child may be wearing (bibs, hoodies, pacifier clips, etc.)
- Explanation of how programs’ procedures/policy are shared with staff and parents
Playground Equipment Stability and Fall Surfacing & Inspection  
(Required for Environment – Level 1)

Active play areas are associated with the most frequent and the most severe injuries in child care. Nearly 60 percent of all injuries that result in litigation list lack of maintenance as the primary cause of injury. Properly installed, inspected and maintained equipment, adequate fall surfacing, along with active-supervision helps to ensure the safety of the playground environment.

At a minimum address the following in your written policy:

- Explanation of how staff are trained in playground safety measures
- Playground equipment is installed according to manufacturer’s instructions including anchoring.
- Explanation of how adequate fall surfacing is maintained (see CFOC appendix Z)
- Procedure for completing routine (daily-weekly) inspection of the playground environment.
  - Missing or broken parts
  - Protrusion of nuts and bolts
  - Rust and chipping or peeling paint
  - Sharp edges, splinters, and rough surfaces
  - Stability of handholds
  - Visible cracks
  - Stability of non-anchored large play equipment (e.g., playhouses)
  - Wear and deterioration
  - Safety hazards such as broken bottles and toys, discarded cigarettes, stinging insect nests, and packed surfacing under frequently used equipment like swings and slides.
  - Fall surfacing is at the required depth in all use zones
- Explain the process of how identified hazards are handled and corrected.

Missing Child  
(Required for Environment – Level 1)

Children are inquisitive and like to test their skills and abilities. Providers/teachers/staff use their knowledge of each child’s development and abilities to anticipate what they will do and when to redirect them when necessary. The program should have a written plan for a missing child event.

At a minimum address the following in your written policy:

- Notification to parent/guardian, local authorities/law enforcement, DHS, location of where child was last seen
- Completion of incident report
- Prevention measures the program has in place such as-matching shirts for field trips, staff count children frequently with name to face count, completing drills for lost child, talk to older children about what to do if they become separated from the group.
- Procedure for identified staff to perform search of facility (building, home, vehicle, playground, field trip location) in which children are leaving to be sure no child is overlooked

Strangulation Prevention  
(Required for Environment – Level 1)

Infants and young children have been injured and died from unintentional strangulation. Eliminating strangulation hazards helps keep infants and children safe.

At a minimum address the following in your written policy:
String and cords long enough to encircle a child’s neck will not be accessible
Window blinds and draperies will not have looped cords. Tension or tie-down devices will be installed as appropriate to hold cords tight.
Dramatic play items with handles or straps will be removed or shortened. Ties, scarves, necklaces, and boas for dramatic play will only be used by children under the age of three when directly supervised
Pacifiers attached to strings/ribbon will not be used
Parents will be asked to remove hood and neck strings from all children’s clothing.
If lanyards are used by older children and staff, they will be the break-away type.

Sign-in/Sign-out
(Required for Environment – Level 1)
A sign-in/sign-out system helps to maintain a secure environment for children and staff. It also provides a means to contact visitors if needed or to ensure all individuals in the building are evacuated in case of an emergency.
At a minimum address the following in your written policy:

- A daily attendance record listing the times of arrival/departure of the child as well as the person dropping off and picking up
- A sign in/sign out process for visitors that includes time in/out, name, reason for visit, and contact information.
- Notification to parent/guardian in a timely manner (within one hour) if there is no communication from the parent/guardian about the child’s absence.

Discipline/Behavior
(Required for Teaching and Learning – Level 1)
Providers/teachers/staff should help guide children to develop self-control and appropriate behaviors to promote positive relationships with peers and adults. Guidance should be an ongoing process to help children develop self-management skills and appropriate social emotional behavior.
At a minimum address the following in your written policy:

- What developmentally appropriate guidance is used by your program?
- How does your discipline/behavior policy support positive child-child and child-adult relationships? (ex. Teaches the child self-regulation, coping skills, etc.)
- Explanation of how this policy is communicated with parents and staff.
- Explanation how your staff will implement the policy.

Eliminates or Severely Limits Suspension and/or Expulsion
(Required in the Teaching and Learning – Level 1)
Suspension and expulsions refer to:

- In-program suspensions that involve removing a child from a classroom or from activities that include the other children
- Out-of-program suspensions that remove a child from the program in the short-term or place restrictions on the time a child may attend the program
- Any dismissal of a child from a program permanently at the request of the program’s staff
- “Soft expulsions,” in which program practices encourage parents or other family members to voluntarily terminate services
The rate of expulsion in child care programs has been estimated to be as high as one in every thirty-six children enrolled. Expulsions prevent children from receiving potentially beneficial mental health services and deny the child the benefit of continuity of quality early education and child care services.

At a minimum address the following in your written policy:

- Explain how your program determines children’s needs (ex. parent report, review of child’s physical, behavior reports)
- How the program will document and communicate concerns with the family
- How the program will support staff when a concern is shared with leadership (ex. director, lead teacher, internal PBIS coach)
- What services, modifications, and resources will program access to support child, family, and staff? (examples may include AEA, CCNC, room arrangement, etc.)
- Reasons why a child may be considered for suspension or expelled from program
- If a child should need to leave the program, what procedures are in place for a transition plan?

**Tobacco-Free and Nicotine-Free**
(Required for Environment – Level 2)

Children should not be exposed to tobacco, nicotine, electronic cigarettes, and vaping in child care. No children, especially those with respiratory problems, should be exposed to additional risk from the air they breathe. Infants and young children exposed to secondhand smoke are at risk of severe asthma, respiratory infections and Sudden Infant Death Syndrome.

At a minimum address the following in your written policy:

- At all times, tobacco, nicotine, electronic cigarettes, and vaping are prohibited inside of the program, on the grounds (to include employee’s vehicles while at work), and in any vehicles used to transport children
- Providers/teachers/staff are prohibited from wearing clothing that smells of smoke when working.

**Inclusive Practices**
(Required for Teaching and Learning – Level 3)

All children should be included in all activities. By being included, children with special needs develop increased social skills and self-esteem; families of children with special needs gain social support; children and families without special needs become more understanding and accepting of differences and disabilities; providers/teachers/staff learn from working with children, families, and service providers and develop skills in individualizing care for all children. Your program’s policies and procedures should address inclusive practices for children with diverse needs, including those with identified disabilities, dual language learners, identified behavioral needs, and/or specialized health needs. Consider how your policies align with the Americans with Disabilities Act. https://www.ada.gov/childqanda.htm

At a minimum address the following in your written policy:

- Describe how your program will meet individual needs of children
- How will your program obtain specialized training to meet the needs of children?
- How will your program partner with parents and specialists to support children?
- Where your program keeps a copy of the child’s care plan (ex. IFSP, IEP, health needs plan, etc.) to implement as required to support meaningful participation
Oral Health
(Required for Environment – Level 3)
Good oral hygiene is important for all children. Oral health activities at home may not suffice to develop the skill of proper tooth brushing or accomplish the necessary plaque removal, especially when children eat most of their meals and snacks during a full day in child care.
At a minimum address the following in your written policy:

- Contact information for each child’s dentist/Resource list for children without a dentist
- Implementation of daily tooth brushing (toothbrushes are not worn or frayed) OR rinsing the mouth with water after eating
- Use of sippy cups and bottles only at mealtimes and not at nap times.
- Promotion of healthy foods per the USDA’s Child and Adult Care Food Program (CACFP)
- Age appropriate oral health educational activities
- Plan of handling dental emergencies